# FORM PFS PERSONAL FINANCIAL STATEMENT **COVER SHEET** PAGE 1 PAGE# Filed in accordance with chapter 572 of the Government Code. 16 For filings required in 2019, covering calendar year ending December 31, 2018. ACCOUNT # Use FORM PFS--INSTRUCTION GUIDE when completing this form. 00066091 1 NAME TITLE; FIRST; MI **OFFICE USE ONLY** The Honorable Jose Roberto **ELECTRONICALLY FILED** NICKNAME; LAST; SUFFIX 04/29/2019 Rodriguez ADDRESS / PO BOX; 2 ADDRESS APT / SUITE #; CITY; STATE; ZIP Receipt # HD / PM Amount Date Processed X (CHECK IF FILER'S HOME ADDRESS) **TELEPHONE** AREA CODE PHONE NUMBER; EXTENSION Date Imaged NUMBER REASON FOR FILIING STATEMENT CANDIDATE \_\_\_\_\_ (INDICATE OFFICE) ELECTED OFFICER Texas Senator (INDICATE OFFICE) APPOINTED OFFICER \_\_\_\_\_\_ (INDICATE AGENCY) EXECUTIVE HEAD \_\_\_\_\_ (INDICATE AGENCY) FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT STATE PARTY CHAIR \_\_\_\_\_\_ (INDICATE PARTY) OTHER \_\_\_\_\_ (INDICATE POSITION) **5** Family members whose financial activity you are reporting (see instructions). Carmen Rodriguez SPOUSE **DEPENDENT CHILD** In Parts 1 through 18, you will disclose your financial activity during the preceding calendar year. In Parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child (see instructions).

#### SOURCES OF OCCUPATIONAL INCOME PART 1A If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. 1 INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD \_\_\_ 2 EMPLOYMENT NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER (Check if Filer's Home Address) **EMPLOYER** Texas State Senate ADDRESS / PO BOX; APT / SUITE #; CITY: STATE: ZIP CODE P.O. Box 12068 Austin, TX 78711 **POSITION HELD** Senator NATURE OF OCCUPATION SELF-EMPLOYED INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD **EMPLOYMENT** NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER X (Check if Filer's Home Address) **EMPLOYER** Rodriguez & Associates ADDRESS / PO BOX; ZIP CODE APT / SUITE #; CITY; STATE; POSITION HELD Attorney NATURE OF OCCUPATION SELF-EMPLOYED INFORMATION RELATES TO FILER X SPOUSE DEPENDENT CHILD \_\_ **EMPLOYMENT** NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER X (Check if Filer's Home Address) **EMPLOYER** Rodriguez & Associates ADDRESS / PO BOX; ZIP CODE APT / SUITE #; CITY; STATE; **POSITION HELD** Attorney NATURE OF OCCUPATION SELF-EMPLOYED

# **INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS**

PART 5

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about which the child is listed on the Co	t a dependent child's activity over Sheet.	y, indicate the child about \	whom you are reporting by providing	the number under
SOURCE OF INCOME     Publicly held corporation	Rents ADDRESS /		AND ADDRESS #; CITY; STATE;	ZIP CODE
2 RECEIVED BY	X FILER	X SPOUSE	DEPENDENT CHILD	
3 AMOUNT	\$500 - \$4,999	\$5,000 - \$9,999	X \$10,000 - \$24,999 S	S25,000OR MORE

### PERSONAL NOTES AND LEASE AGREEMENTS

PART 6

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Identify each guarantor of a loan and each person or financial institution to whom you, your spouse, or a dependent child had a total financial liability of more than \$1,000 in the form of a personal note or notes or lease agreement at any time during the calendar year and indicate the category of the amount of the liability. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	GECU		
2 LIABILITY OF	X FILER	X SPOUSE	DEPENDENT CHILD
3 GUARANTOR	NONE		
4 AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 X \$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	GECU		
LIABILITY OF	X FILER	X SPOUSE	DEPENDENT CHILD
GUARANTOR	NONE		
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 X \$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Colonial Savings		
LIABILITY OF	X FILER	X SPOUSE	DEPENDENT CHILD
GUARANTOR	NONE		
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 X \$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Sallie Mae		
LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILD
GUARANTOR	Rodriguez, Jose (Se	n.)	
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	X \$10,000 - \$24,999
I			

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PART 6

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PERSON OR INSTITUTION     HOLDING NOTE OR     LEASE AGREEMENT	Lexus Financial Serv	vices		
2 LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILI	)
3 GUARANTOR	NONE			
4 AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	GECU			
LIABILITY OF	X FILER	X SPOUSE	DEPENDENT CHILI	o
GUARANTOR	NONE			
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Trust			
LIABILITY OF	FILER	X SPOUSE	DEPENDENT CHILI	D
GUARANTOR	NONE			
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Canada, Herb			
LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILI	D
GUARANTOR	NONE			
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE

### PERSONAL NOTES AND LEASE AGREEMENTS

PART 6

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	When reporting information about which the child is listed on the Co	ut a dependent child's activit over Sheet.		vhom you are reporting by p	roviding the number under
1	PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Zepulveda, Lucille (N	1s.)		
2	LIABILITY OF	FILER	X SPOUSE	DEPENDENT CHILI	)
3	GUARANTOR	NONE			
4	AMOUNT	X \$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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### **INTERESTS IN REAL PROPERTY**

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

1 HELD OR ACQUIRED BY	X FILER X SPOUSE DEPENDENT CHILD
2 STREET ADDRESS  NOT AVAILABLE  CHECK IF FILER'S HOME ADDRESS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE
3 DESCRIPTION  ☐ LOTS  X ACRES	NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED  0.34000 acres  El Paso County
4 NAMES OF PERSONS RETAINING AN INTEREST  ☐ NOT APPLICABLE (SEVERED MINERAL INTEREST)	Colonial Savings
5 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE
HELD OR ACQUIRED BY	X FILER X SPOUSE DEPENDENT CHILD
HELD OR ACQUIRED BY  STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	X FILER X SPOUSE DEPENDENT CHILD  STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE  911 Dallas  El Paso, TX 79902
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 911 Dallas
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS  DESCRIPTION X LOTS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 911 Dallas EI Paso, TX 79902  NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 5.00000 lots
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS  DESCRIPTION X LOTS ACRES  NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 911 Dallas  EI Paso, TX 79902  NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 5.00000 lots  EI Paso County  GECU

### **INTERESTS IN REAL PROPERTY**

PART 7A

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When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under

which the child is listed on the Co	over Sheet.	nty, maicate the orma about v	whom you are reporting by providing the number under
1 HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHILD
2 STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	4804 E. Yandell El Paso, TX 79903	STREET ADDRESS, INCLU	DING CITY, COUNTY, AND STATE
3 DESCRIPTION  LOTS  ACRES	NUMBE 0.13000 acres El Paso County	ER OF LOTS OR ACRES AN	ND NAME OF COUNTY WHERE LOCATED
4 NAMES OF PERSONS RETAINING AN INTEREST  X NOT APPLICABLE (SEVERED MINERAL INTEREST)			
5 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,0	00  \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE

### **INTEREST IN BUSINESS ENTITIES**

PART 7B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

	When reporting which the child	information abou is listed on the Co	t a dependent child's activity, over Sheet.	indicate the child about wh	nom you are reporting by pr	oviding the number under
1	HELD OR ACQ	UIRED BY	X FILER	X SPOUSE	DEPENDENT CHILD	)
2	DESCRIPTION		Rodriguez & Associate	X (Check if F	ND ADDRESS Filer's Home Address)	
3	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	HELD OR ACQ	UIRED BY	FILER	X SPOUSE	DEPENDENT CHILD	)
	DESCRIPTION		Desert Moon Cafe 310 S. Florence El Paso, TX 79901		ND ADDRESS Filer's Home Address)	
	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

## **OWNERSHIP OF BUSINESS ASSOCIATIONS**

PART 11A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 5 percent or more of the outstanding ownership. For more information, see FORM PFS--INSTRUCTION GUIDE.

the child is listed on the Cover	Sheet.		
1 BUSINESS ASSOCIATION	Rodriguez & Associates	NAME AND ADDRESS  X (Check If Filer's Home Address)	
2 DESCRIPTION			
3 BUSINESS TYPE	Corporation Firm X Partnership	Limited Partnership Limited Liability Partnership Professional Corporation	Profesional Association Joint Venture Other
4 HELD, ACQUIRED, OR SOLD BY	X FILER	X SPOUSE DEPENDENT	CHILD
1 BUSINESS ASSOCIATION	Desert Moon Cafe 310 S. Florence El Paso, TX 79901	NAME AND ADDRESS  (Check If Filer's Home Address)	
2 DESCRIPTION			
3 BUSINESS TYPE	Corporation Firm X Partnership	Limited Partnership Limited Liability Partnership Professional Corporation	Profesional Association Joint Venture Other
4 HELD, ACQUIRED, OR SOLD BY	X FILER	X SPOUSE DEPENDENT (	CHILD

### **ASSETS OF BUSINESS ASSOCIATIONS**

**PART 11B** 

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which

	the child is listed on the Cover S	sheet.	icate the child about whom	n you are reporting by provid	ing the number under which
1	BUSINESS ASSOCIATION	Rodriguez & Associates	NAME AND  X (Check If Filer's		
2	BUSINESS TYPE	Partnership			
3	HELD, ACQUIRED, OR SOLD BY	X FILER X	SPOUSE [	DEPENDENT CHILD _	
4	ASSETS	DESCRIPT Office furniture & equipment;	į.	CATE LESS THAN \$5,000 X \$10,000 - \$24,999	GORY \$5,000 - \$9,999 \$25,000 OR MORE
1	BUSINESS ASSOCIATION	Desert Moon Cafe 310 S. Florence	NAME AND (Check If Filer's	ADDRESS s Home Address)	
		El Paso, TX 79901			
2	BUSINESS TYPE	Partnership			
3	HELD, ACQUIRED, OR SOLD BY	X FILER X	SPOUSE	DEPENDENT CHILD	
4	ASSETS	DESCRIPT Restaurant furniture & kitche	n equipment	LESS THAN \$5,000  X \$10,000 - \$24,999	GORY \$5,000 - \$9,999 \$25,000 OR MORE

## LIABILITIES OF BUSINESS ASSOCIATIONS

PART 11C

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all liabilities of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

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	the child is listed on the Cover S		muicate the child about who	on you are reporting by provid	ing the number under which
1	BUSINESS ASSOCIATION	Rodriguez & Associates		D ADDRESS er's Home Address)	
2	BUSINESS TYPE	Partnership			
3	HELD, ACQUIRED, OR SOLD BY	X FILER	X SPOUSE	DEPENDENT CHILD _	
4	LIABILITIES	DESCR Telephone &Misc. Expens		CATE    X LESS THAN \$5,000    310,000 - \$24,999	GORY \$5,000 - \$9,999 \$25,000OR MORE
1	BUSINESS ASSOCIATION	Desert Moon Cafe 310 S. Florence El Paso, TX 79901		D ADDRESS er's Home Address)	
2	BUSINESS TYPE	Partnership			
3	HELD, ACQUIRED, OR SOLD BY	X FILER	X SPOUSE	DEPENDENT CHILD _	
4	LIABILITIES	DESCRI Rent, Utilities, & Operation	nal Expenses	LESS THAN \$5,000  X \$10,000 - \$24,999	GORY \$5,000 - \$9,999 \$25,000OR MORE

#### **BOARDS AND EXECUTIVE POSITIONS**

**PART 12** 

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 ORGANIZATIO	N	Medical Center of the	Americas		
2 POSITION HEL	D	Advisory Board Memb	er		
3 POSITION HEL	D BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATIO	N	Rodriguez & Associate	es		
POSITION HEL	D	Partner			
POSITION HEL	D BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATIO	N	Rodriguez & Associate	es		
POSITION HEL	D	Partner			
POSITION HEL	D BY	FILER	X SPOUSE	DEPENDENT CHILD	
ORGANIZATIO	N	Texas Senate Democ	ratic Caucus		
POSITION HEL	D	Chairman			
POSITION HEL	D BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATIO	N	Texas Senate Hispani	c Caucus		
POSITION HEL	D	Member			
POSITION HEL	D BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATIO	N	BECC/NADBANK			
POSITION HEL	D	Board Member			
POSITION HEL	D BY	X FILER	SPOUSE	DEPENDENT CHILD	

### **BOARDS AND EXECUTIVE POSITIONS**

**PART 12** 

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List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

the child is listed on the Cov	er Sheet.			
1 ORGANIZATION	BECC/NADBANK			
2 POSITION HELD	Board Member			
3 POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	Border Legislative C	onference		
POSITION HELD	Board Member			
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	_

## PERSONAL FINANCIAL STATEMENT

#### PARTS MARKED "NOT APPLICABLE" BY FILER

FORM PFS
COVER SHEET
PAGE 2

On this page, indicate any Parts of Form PFS that are not applicable to you. If you do not place a check in a box, then pages for that Part must be included in the report. If you place a check in a box, do NOT include pages for that Part in the report.

5	PAR	TS NOT APPLICABLE TO FILER	
		N/A Part 1A - Sources of Occupational Income	
	Χ	N/A Part 1B - Retainers	
	Χ	N/A Part 2 - Stock	
	X	N/A Part 3 - Bonds, Notes & Other Commercial Paper	
	Χ	N/A Part 4 - Mutual Funds	
		N/A Part 5 - Income from Interest, Dividends, Royalties & Rents	
		N/A Part 6 - Personal Notes and Lease Agreements	
		N/A Part 7A - Interests in Real Property	
		N/A Part 7B - Interests in Business Entities	
	Х	N/A Part 8 - Gifts	
	Х	N/A Part 9 - Trust Income	
	X	N/A Part 10A - Blind Trusts	
	Х	N/A Part 10B - Trustee Statement	
	Χ	N/A Part 11A - Business Associations	
		N/A Part 11B - Assets of Business Associations	
	Χ	N/A Part 11C - Liabilities of Business Associations	
		N/A Part 12 - Boards and Executive Positions	
	Χ	N/A Part 13 - Expenses Accepted Under Honorarium Exception	
	Χ	N/A Part 14 - Interest in Business in Common with Lobbyist	
	Χ	N/A Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer	
	Χ	N/A Part 16 - Representation by Legislator Before State Agency	
	Х	N/A Part 17 - Benefits Derived from Functions Honoring Public Servant	
	Χ	N/A Part 18 - Legislative Continuances	
	X	N/A Part 19 - Contracts with Governmental Entity	
	Χ	N/A Part 20 - Bond Counsel Services Provided by a Legislator	

he law requires the personal financial statement to be veri	ified. Without proper verification, the statement is not considere	ed filed.
e verification page on a personal statement filed electronically with the Texas Ethics Commission must have the electronic signature of the vidual required to file the personal financial statement.		
	d with an authority other than the Texas Ethics Commission mument as wells as the signature and stamp or seal of office of a lins.	
	I swear, or affirm, under penalty of perjury, that this find covers calendar year ending December 31, 2018, and and includes all information required to be reported by 572 of the Government Code.	is true and correct
	The Honorable Jose Roberto Rodr	iguez
	Signature of Filer	
AFFIX NOTARY STAMP / SEAL ABOVE		
Sworn to and subscribed before me, by the said	, this the	day
of, 20, to certify which, v	witness my hand and seal of office.	
Signature of officer administering oath Printed	I name of officer administering oath Title of officer	administering oath